

FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

40062.259US01/MS172030.1

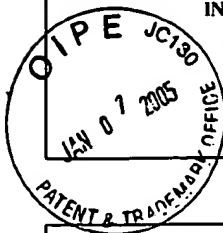
Application Number:

09/989,562

Applicant: Shanku S. Niyogi et al.

Filing Date: November 20,  
2001

Group Art Unit: 2122



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
de	3,937,925	2/10/1976	Boothroyd			
"	3,956,615	5/11/1976	Anderson et al			
"	4,186,871	2/5/1980	Anderson et al			
"	4,807,154	2/21/1989	Scully et al			
"	4,847,785	7/11/1989	Stephens			
"	4,949,300	8/14/1990	Christenson, et al.			
"	4,979,148	12/18/1990	Bush et al			
"	5,299,315	3/29/1994	Chin et al			
"	5,349,657	9/20/1994	Lee			
"	5,388,156	2/7/1995	Blackledge, Jr. et al			
"	5,465,332	11/7/1995	Deloye et al			
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"	5,548,340	08/20/1996	Bertram			
"	5,550,560	08/27/1996	Kanada et al			
"	5,604,908	02/18/1997	Mortson			
"	5,638,176	06/10/1997	Hobbs et al			
"	5,640,449	06/17/1997	Worley et al			
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"	5,675,520	10/07/1997	Pitt, III et al			
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"	5,855,020	12/29/1998	Kirsch			
"	5,878,141	03/02/1999	Daly et al			

EXAMINER

Shanku Niyogi

DATE CONSIDERED

3/16/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

<b>FORM 1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 40062.259US01/MS172030.1	Application Number: 09/989,562
	Applicant: Shanku S. Niyogi et al.	
	Filing Date: November 20, 2001	Group Art Unit: 2122

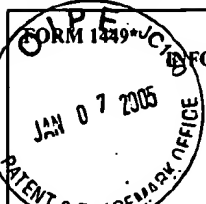
de	5,878,282	03/02/1999	Mital			
"	5,911,068	06/08/1999	Zimmerman, et al			
"	5,918,007	06/29/1999	Blackledge, Jr. et al			
"	5,940,847	08/17/1999	Fein et al			
"	5,949,300	08/14/1990	Christenson et al			
"	5,956,489	09/21/1999	San Andres et al			
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"	6,006,230	12/21/1999	Ludwug, et al			
"	6,014,637	01/11/2000	Fell et al			
"	6,038,551	03/14/2000	Barlow et al			
"	6,072,664	06/06/2000	Aoyagi et al			
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"	6,101,607	08/08/2000	Bachand et al			
"	6,121,968	09/19/2000	Arcuri et al			
"	6,138,150	10/24/2000	Nichols et al			
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"	6,279,151 B1	08/21/2001	Breslau et al			
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"	6,363,352	03/26/2002	Dailey et al			

EXAMINER	<i>Chuck Vindal</i>	DATE CONSIDERED	<i>3/16/06</i>
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<b>FORM 1449-E</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 40062.259US01/MS172030.1	Application Number: 09/989,562
	Applicant: Shanku S. Niyogi et al.	
	Filing Date: November 20, 2001	Group Art Unit: 2122

OK	6,401,099	06/04/2002	Koppulu, et al			
"	6,412,008	06/25/2002	Fields et al			
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"	2004/0073873	04/15/2004	Croney et al			
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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
"	EP 1156415	11/21/2001	EP			
"	EP 1164473	12/19/2001	EP			

EXAMINER	<i>Chuck Kendall</i>	DATE CONSIDERED	<i>3/16/05</i>
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 <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	<b>Docket Number:</b> 40062.259US01/MSI72030.1	<b>Application Number:</b> 09/989,562
	<b>Applicant:</b> Shanku S. Niyogi et al.	
	<b>Filing Date:</b> November 20, 2001	<b>Group Art Unit:</b> 2122

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
ck		'HTML Encyclopaedia'; <a href="http://www.scit.wlv.ac.uk/encyc/form.html">http://www.scit.wlv.ac.uk/encyc/form.html</a> ; 2 pages
"		Article, ColdFusion Web Application Server, update and summary, from Allaire Corp - <a href="http://www.allaire.com">www.allaire.com</a>
c /		Dowd, Tyson et al., "Compiling Mercury to the .NET Common Language Runtime," Electronic Notes in Theoretical Computer Sciences 59 No. 1 (Netherlands) (2001), pp. 1-16
c /		Hannay, Phillip et al., "MSIL For the .NET Framework: The Next Battleground?", Virus Bulletin Conference, September 2001, pp. 173-196
c /		Langheinrich, M., et al., "Unintrusive customization techniques for Web advertising," Computer Networks, Vol. 31, No. 11-16, May 17, 1999, pp. 1259-1272
c /		Lee, C.-H., et al., "Web personalization expert with combining collaborative filtering and association rule mining technique," Expert Systems With Applications, Vo. 21, No. 3, October 2001, pp. 131-137
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c /		Mobasher, B., "A Web personalization Engine Based on User Transaction Clustering," Proc. Of the 9th Annual Workshop on Information Tech. And Systems, 12-11-1999, pp. 179-184
c /		"NCSA httpd" <a href="mailto:nttpd@ncsa.uiuc.edu">nttpd@ncsa.uiuc.edu</a>
c /		O'Leary, M., "Web personalization Does It Your Way," O'Leary Online, Vol. 23, No. 2, Mar-Apr 1999, pp. 79-80
c /		Ramakrishnan, N., "PIPE: Web Personalization by Partial Evaluation," IEEE Internet Computing, Vol. 4, No. 6, Nov-Dec 2000, pp. 21-31
c /		Seddon, Bill, "Generating XP Style webparts from a web control," The Code Project - Generating XP style webparts from a web control - ASP.NET, online at <a href="http://www.codeproject.com/aspnet/webpartscontrol.asp">http://www.codeproject.com/aspnet/webpartscontrol.asp</a> , retrieved 4/8/2004, 10 pages
c /		Sells, Chris et al., "Generating Code at Run Time with Reflection.Emit," Windows Developer Magazine, August 2002, Vol. 13, No. 8, pp. 26-34
c /		Syme, Don, "ILX: Extending the .NET Common IL for Functional Language Interoperability," Electronic Notes in Theoretical Computer Science 59 No. 1 (2001), pp. 1-20
c /		Varela, Carlos A.; Caroline C. Hayes; "Providing Data on the Web: From Examples to Programs," Second International WWW Conference, Chicago, IL; 10/17/94; 17 pages

**27488**

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EXAMINER <i>Chuck Kordak</i>	DATE CONSIDERED <i>3/11/06</i>
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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**Complete if Known**

Application Number

**09/989,562**

**Filing Date**

Nov 20, 2001

**First Named Inventor**

**Niyogi**

**Group Art Unit**

2122

**Examiner Name**

DAM, TUAN QUANG

**Attorney Docket Number**

MS1-1608US

## U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (# known)			
CK		5,748,890		Goldberg et al.	05-05-1998	RECEIVED
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CK		6,032,207		Wilson	02-29-2000	
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CK		6,230,160		Chan	05-08-2001	

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**Examiner  
Signature**

Charles Randall

Date \_\_\_\_\_

**Considered**

3-16/06

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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Application Number	09/989,562
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<b>Filing Date</b>	Nov 20, 2001
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First Named Inventor	Niyogi
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Group Art Unit	2122
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Examiner Name	DAM, TUAN QUANG
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Attorney Docket Number	MS1-1608US
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## U.S. PATENT DOCUMENTS

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		Number	Kind Code <sup>2</sup> (if known)			
OK		6,247,044		Gosling et al.	06-12-2001	
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Charles Kendall

Date  
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3/16/06

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Sheet

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Application Number	09/989.562
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**09/989.562**

<b>Filing Date</b>	Nov 20, 2001
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**Nov 20, 2001**

MAR 17 200

First Named Inventor	Niyogi
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**Niyogi**

### Group Art Unit

2122

## Technology Center

2100

Examiner Name	DAM, TUAN QUANG
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DAM, TUAN QUANG

Attorney Docket Number

MS1-1608US

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

**Examiner  
Signature**

Chuck Kendall

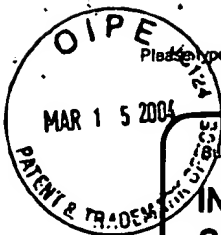
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Substitute for form 1449B/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/989,562
		Filing Date	Nov 20, 2001
		First Named Inventor	Niyogi
		Group Art Unit	2122
		Examiner Name	DAM, TUAN QUANG
		Attorney Docket Number	MS1-1608US
Sheet	4	of	7

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
OK		European Search Report 01111678.7-2201	
OK		European Search Report 01111680.3-2201	
OK		European Search Report 01111681.1-2201	
OK		European Search Report 01111682.9-2201	
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OK		Chapter 3, "Mechanics of Developing JavaScript Applications"; Server-Side Javascript Guide; Online! 1999; pp 51-108 <a href="http://www.developer.netscape.com/docs/manuals/ssjs/1_4/ssjs.pdf">www.developer.netscape.com/docs/manuals/ssjs/1_4/ssjs.pdf</a>	
OK		Chapter 6, "Session Mangement Service"; Server Side Javascript Guide; Online! 1999 pp 125-166 <a href="http://www.developer.netscape.com/docs/manuals/ssjs/1_4/ssjs.pdf">www.developer.netscape.com/docs/manuals/ssjs/1_4/ssjs.pdf</a>	
OK		"ColdFusion: Web Application Server"; Allair Corp; <a href="http://www.allair.com">www.allair.com</a> ; 1995-1999; 28 pages	

Examiner Signature	<i>Emile Kordahl</i>	Date Considered	3/16/06
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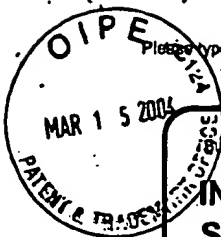
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				
(use as many sheets as necessary)				
Sheet	5	of	7	
		Application Number	09/989,562	
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		First Named Inventor	Niyogi	
		Group Art Unit	2122	Technology Center 2100
		Examiner Name	DAM, TUAN QUANG	
		Attorney Docket Number	MS1-1608US	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cita No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
OK		CUSTER, HELEN; "Inside Windows NT"; Microsoft Press 1993; pgs 74-81	
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OK		HAMMOND, ERIC "Hammock Swings through Web interfaces" <a href="http://www.infoworld.com/articles/ml/xml/00/07/17/000717mthamrock.xml">www.infoworld.com/articles/ml/xml/00/07/17/000717mthamrock.xml</a> ; 7/14/2000; 3 pages	
OK		HOLMES, JOHN W.; e-Mail response to question regarding GET/POST.HTTP request, extracted from GOOGLE's News groups, php.general, 11/11/2002	
OK		HOVANES, MICHAEL E.; DEAL, JOHN R. GRIZZ; ROWBERG, ALAN H.; "Seamless Multiresolution Display of Portable Wavelet-Compressed Images" Journal of Digital Imaging, vol 12, no 2, suppl 1 (May), 1999, pp 109-111	

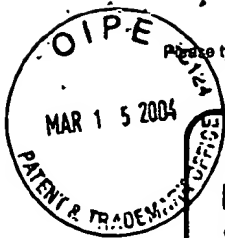
Examiner Signature	Chuck Randall	Date Considered	3/16/06
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		Filing Date	Nov 20, 2001
		First Named Inventor	Niyogi
		Group Art Unit	2122
		Examiner Name	DAM, TUAN QUANG
		Attorney Docket Number	MS1-1608US
Sheet	6	of	7

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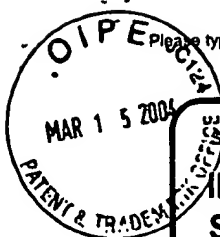
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CK		"HTML Encyclopaedia"; <a href="http://www.scit.wlv.ac.uk/encyc/form.html">http://www.scit.wlv.ac.uk/encyc/form.html</a> ; 1995-1998 2 pages	
CK		INGHAM; DAVID B; "W3Objects: A Distributed Object-Oriented Web Server" Object-Oriented Web Servers and data Modeling Workshop, Sixth International World Wide Web Conference, 4/7/1997, Santa Clara, CA 4 pages	
LI		KITAYAMA, FUMIHIKO; HIROSE, SHIN-ICHI; KONDOH, GOH; KUSE, KAZUSHI; "Design of a Framework for Dynamic Content Adaptation to Web-Enabled Terminals and Enterprise Applications"; IEEE 1999, pages 72 - 79	
LI		KUNZ, T.; EL SHENTENAWY, M.; GADDAH, A.; HAFEZ, R.; "Image Transcoding for Wireless WWW Access: The User Perspective; Multimedia Computing and Networking 2002, Proceedings of SPIE vol 467 (2002) pages 28-33	
LI		MARSHALL, JAMES; "HTTP Made Really Easy: A Practical Guide to Writing Clients and Servers"; August 15, 1997; 14 pages	
LI		"A Brief History of Hypertext" Microsoft Corporation, 1996, 1 page	
LI		NADAMOTO, AKIYO; KONDO, HIROYUKI; TANAKA, KATSUMI; "WebCarousel: Restructuring Web Search Results for Passive Viewing in Mobile Environments" IEEE 2001 page 164-165	
LI		"OOP Launches Hammock at JavaOne" <a href="http://www.oop.com/pr2000_06_08.jsp?gui=Plain">http://www.oop.com/pr2000_06_08.jsp?gui=Plain</a> June 8, 2000, 1 page	
LI		PYARALI, IRFAN; O'RYAN, CARLOS; SCHMIDT, DOUGLAS; WANG, NANBOR; GOKHALE, ANIRUDDHA S.; KACHROO, VISHAL; "Using Principle Patterns to Optimize Real-Time ORB's" IEEE Concurrency, 2000, pgs 16-25	
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LI		SHAPIRO, MARC; "A Binding Protocol for Distributed Shared Objects" Presented at 14th International Conference on Distributed Comp. Sys.; June 21-24 1994; 17 pages	

Examiner Signature		Date Considered	3/16/02
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OK		SHI, WEISONG; COLLINS, ELI; KARAMCHETI, VIJAY; "Modeling Object Characteristics of Dynamic Web Content"; Proceedings of the IEEE Global Internet Conference, November 2002, 5pgs	
OK		SOLOMON, DAVID A. "Inside Windows NT, Second Edition"; Microsoft Press 1998; pgs 310-319	
ll		STEWART, TONY; "The Document as Application: Issues and Implications" GCA XML Europe 1999; pages 575-599	
ll		"Best Practices for Creating Adaptive User Interfaces with the Mobile Internet Toolkit"; Microsoft Corporation, January 2002; 15 pages	
ll		"Best Practices for the Microsoft Mobile Internet Toolkit Image Control"; Microsoft Corporation, February 2002	
ll		WU, DAPENG; HOU, YIWCI THOMAS; ZHANG, YA-QIN; "Scalable Video Transport over Wireless IP Networks"; IEEE 2000; pages 1185 - 1191	

Examiner Signature	<i>Omick Kndow</i>	Date Considered	03/16/06
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